## Form PTO-1449 Docket Number (Optional) Application Number 0434-5261US To be assigned INFORMATION DISCLOSURE CITATION Applicant Ashok V. Joshi IN AN APPLICATION Group Art Unit (Use several sheets if necessary) Filing Date To be assigned U.S. PATENT DOCUMENTS EXAMINER DOCUMENT FILING DATE DATE SUBCLASS NAME CLASS IF APPROPRIATE INITIAL NUMBER 3,604,417 09/1971 Stolzenberg et al. 3,760,805 09/1973 Higuchi 3,760,984 09/1973 Theeuwes 3,797,494 03/1974 Zaffaroni 3,995,631 12/1976 Higuchi et al. 4,003,379 01/18/77 Ellinwood, Jr. 4,034,756 07/1977 Higuchi et al. 4,140,122 02/1979 Kühl et al. 4,141,359 02/27/79 Jacobsen et al. 4,240,884 12/1980 Pellegri 4,452,249 06/1984 Sachs et al. 4,522,698 06/1985 Maget 4,539,004 09/1985 Eckenhoff et al. RG 4,886,514 12/1989 Maget FOREIGN PATENT DOCUMENTS Translation DOCUMENT NUMBER DATE COUNTRY SUBCLASS CLASS YES NO D U EP 0 931 564 A1 07/1999 Europe **OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.) EXAMINER ROZ Ghatorian DATE CONSIDERED 7/10/03 EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to the applicant.

Form PTO-1449

## INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional 0434-5261US

Application Number **To be assigned** 

Applicant Ashok V. Joshi

Filing Date

Group Art Unit
To be assigned

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DAT	
Rb	4,929,233	05/1990	Roth et al.	14-	1		
	5,035,711	07/1991	Aoki et al.		+		
	5,041,107	08/1991	Heil, Jr.	1	1		
	5,063,175	11/05/91	Broadbent		†		
	5,250,023	10/1993	Lee et al.	1			
	5,286,254	02/1994	Shapland et al.		<del> </del>	·	
	5,413,572	05/1995	Wong et al.	1 +			
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	5,454,922	10/1995	Joshi et al.	-			
	5,492,534	02/1996	Athayde et al.				
	5,618,265	04/1997	Myers et al.				
	5,672,167	09/1997	Athayde et al.		+		Ť
y	5,700,481	12/1997	Iga et al.	-			
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FOREIGN PATENT DOCUMENTS							
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		DATE	COUNTRY	CLASS		YES	NO .
EXAMINER /	NUMBER	OT	THER DOCUMENTS	CLASS		YES	NO .
EXAMINER		OT	THER DOCUMENTS  DATE CONSIDERE	CLASS		YES	NO

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PTO/SB/08A (10-01)

APR 2 4 2003

Sheet

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Substitute for form 14450/PTO
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Application Number 10/067,623

Filing Date February 4, 2002

First Named Inventor Ashok V. Joshi

Group Art Unit 3738

Examiner Name R. Ghafoorian

Attorney Docket Number 0434-5261US

U.S. PATENT DOCUMENTS						
Examiner Cite Initials * No.1	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
RU		US-6,261,595	07-2001	Stanley et al.		
W		US- 5,983,130	11-1999	Phipps et al.		
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FOREIGN PATENT DOCUMENTS						
Examiner Cite	Cite	Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines,	İ
Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited  Document	Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
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Examiner Signature Date Considered 71103

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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08B(10-01)
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Substitute for form 149A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

	Complete if Known	
Application Number	10/067,623	<b>7</b> /\
Filing Date	February 4, 2002	A. (
First Named Inventor	Ashok V. Joshi	"P
Group Art Unit	3738	
Examiner Name	R. Ghafoorian	Gr.
Attorney Docket Number	0434-5261118	E/V
		<b>18</b>

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
RU		SCOTT et al. electrochemically reactive cathodes for electrotransport device, US Patent Pub No. US 2002/0055704.		
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Examiner Signature	Roz Charonian	Date Considered	7/11/03

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

(2-92) Sheet 3 of 3

Form PTO-1449 Docket Number (Optional) Application Number 0434-5261US To be assigned INFORMATION DISCLOSURE CITATION Applicant Ashok V. Joshi IN AN APPLICATION (Use several sheets if necessary) Filing Date Group Art Unit To be assigned **U.S. PATENT DOCUMENTS** FILING DATE IF APPROPRIATE EXAMINER DOCUMENT DATE NAME CLASS SUBCLASS INITIAL NUMBER 126 5,869,078 02/1999 Baudino ' 5,876,741 03/1999 Ron ' 5,911,223 06/15/99 · Weaver et al. · Joshi et al. 5,954,268 09/1999 5,971,722 10/1999 Maget et al. FOREIGN PATENT DOCUMENTS Translation DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS YES NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) EXAMINER ROZ GNAFOOYTAN DATE CONSIDERED 7/10/03

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